Select International Alpha Fund

Client Services contact details

Phone

+61 3 9691 6191

Email

invest@k2am.com.au

Website

www.k2am.com.au

Application Form

Select International Alpha Fund

Please use this form if you are a new investor and wish to invest in one of the above funds by making an initial application.

1. Read and ensure you understand the Product Disclosure Statement (PDS).

The PDS is available on our website at www.k2am.com.au or from your financial adviser.

The law prohibits any person passing this Application Form on to another person unless it is accompanied by a complete PDS. K2 will provide on request and without charge a paper or electronic copy of the current PDS and any document which updates the PDS.

2. Complete all relevant sections of this Application Form in block letters and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Individuals — complete section 1, section 2, and then section 5 onwards.

Companies — complete section 1, section 3 and then section 5 onwards.

Trusts/superannuation funds — complete section 1, section 2 if you are an individual trustee, section 3 if you are a trust with a company as trustee, section 4, and then section 5 onwards.

3. Collect and certify the identification documents.

Please refer to section 10 'Identification and verification' and complete the relevant identification document at the end of this form or on our website at www.k2am.com.au.

4. Complete the tax information form.

Complete the tax information form at the end of this PDS or on our website at www.k2am.com.au. You need to provide the information requested in the tax information form to ensure compliance with regulatory obligations under the Common Reporting Standard (**CRS**).

5. Send your documents to us.

You can return your form by post, fax or email according to the details below.

Send by Post: K2 Asset Management

GPO Box 3993 Sydney NSW 2001

Australia

Fax forms to: +61 2 9252 1987

Scan and email to: k2am@boardroomlimited.com.au

6. Transfer your application money to us.

Please refer to section 6 'Payment of application amount'.

Your Application Form cannot be processed until all relevant documents and cleared funds are received.

Legal notices

K2 Asset Management Ltd AFSL No. 244393 (K2) is the issuer of units in the Select International Alpha Fund (the Fund).

K2 is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and our Privacy Statement, which can be accessed on our website www.k2am.com.au.

This Application Form relates to the Product Disclosure Statement (PDS) dated 7 February 2023 relating to units in the Select International Alpha Fund (the Fund). The PDS contains important information about investing in the Fund and you should read it before applying for units.

COMPLETE ALL RELEVANT SECTIONS OF THIS APPLICATION FORM IN BLOCK LETTERS AND USING A BLACK PEN. IF YOU MAKE AN ERROR WHILE COMPLETING THIS FORM, DO NOT USE CORRECTION FLUID. CROSS OUT YOUR MISTAKES AND **INITIAL YOUR CHANGES.**

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Please print full name	Please print full name
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BPAY - telephone and internet banking

You will need to quote the biller code and your reference number when making such payment. Once your Application Form is received a Client Services team member will contact you with your new Investor Account Number.

Fund name	BPAY		
Select International Alpha Fund (previously K2 Select International Absolute Return	Ei	Biller code	374561
Fund)	PAY	Reference number	Investor Account Number

Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account. More info: www.bpay.com.au

EFT - electronic funds transfer

Please ensure transfers are made to the correct bank account/s.

Fund Name	EFT - electronic	funds transfer details
Select International Alpha Fund	Account name	Select International Alpha Fund
·	BSB	083 - 817
	Account number	24 370 5603
	Reference	Investor Account Name

7. Distribution instructions		
Please indicate your choice below.	Pay to my bank account	Reinvest
Select International Alpha Fund		

[®] Registered to BPAY Pty Ltd ABN 69 079 137 518.

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Authorised signatory

10. Identification and verification Please tick one box only:	
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I am already a client of K2 and invest in a different Fun which is located at the end of this Application Form	d. There is no need to complete an investor identification form
By financial adviser	
If you have a financial adviser, please ask them to con located after the 'Acknowledgement and signatures' of	nplete the 'Appointment of a financial adviser' form which is this Application Form.
11. Acknowledgements and signatures	
By signing this Application Form I/we confirm that I/we have received the PDS dated 7 February 2023 and have noted the withdrawal time frames and conditions in the PDS. I/we agree to be bound by the terms and conditions of the constitution which governs the relevant Fund and the PDS available at www.k2am.com.au. I/we declare that all details in this form are correct and that if an individual,	 I/we acknowledge that the information contained in the PDS is not investment advice or a recommendation that units are suitable to me/us, given my/our investment objectives, financial situation or particular needs. It is my/our obligation to seek any advice on, and observe any legal restrictions on investment in the Fund/s which may apply to me/us and where appropriate I/we have obtained my/our own independent investment advice, having regard to the inherently complex nature of these products.
I am/we are at least 18 years of age. I/we agree to indemnify K2 and any other entity involved in this offering against any loss, liability, damage, claim, cost or expense incurred as a result of any information, representation, declaration, statement, acknowledgment or confirmation in this application form being untrue or incorrect; or as a result of or in connection with my direct debit request (if applicable). If I/we have provided an e-mail address, I/we consent to receive on-going investor information including PDS information and any additional information from K2 as applicable, via email.	 In making an investment in the relevant Fund, I/we have not relied in any way on any statements made by K2 or its representatives and acknowledge that they have not made any representations to me/us regarding the suitability or appropriateness of the relevant Fund. I/We have attached the documentation referred to in the Client Identification Form as required by the Anti-Money Laundering and Counter-Terrorism Financing Act 2006, and will continue to provide any other documents reasonably required by K2 from time to time, in order to comply with this legislation. I/We declare that any documents or information provided here are complete and correct and acknowledge
I/We acknowledge that K2 does not guarantee the repayment of capital or the performance of any of its Funds or any particular rate of return from the Funds. I/we acknowledge that I/we have read K2's Privacy Statement.	that where a transaction is delayed, blocked, frozen or refused, K2 will not be liable for any loss (including consequential loss) as a result of its compliance with the AML/CTF laws.
Signing instructions	☐ By ticking this box, I/we do not wish to receive any promotional material from K2.
Individual — where the investment is in one name, the account he	older must sign.
Joint Holding — where the investment is in more than one name,	
If the company (pursuant to section 204A of the Corporations Act	the sole company secretary, this form must be signed by that person. 2001) does not have a company secretary, a sole director can also y with either another director or a company secretary. Please indicate
Trust — the trustee(s) must sign this form. Trustee(s) signing on be with such designated powers and authority under the trust deed.	ehalf of the trust confirm that the trustee(s) is/are acting in accordance
Power of Attorney — if you have not already lodged the Power Attorney. I/We attest that the Power of Attorney has not been resci	r of Attorney with us, please attach a certified copy of the Power of inded or revoked and that the Donor is still living.
Signature of investor 1, director or authorised signatory	Signature of investor 2, director/company secretary or authorised signatory
Please print full name	Please print full name
Date	Date
Company officer (please indicate company capacity)	Company officer (please indicate company capacity)
Director	Director
Sole director and company secretary	Company secretary

Authorised signatory

Appoint	ment of a fina	ncial adviser					
inancial adv		cial adviser this sec e access to your accord to be entered below.					
Email addres							
@							
	rvices Licence (AFS	completing this sect SL), are authorised					
Financial	adviser details						
Dealer group	name						
Adviser nam	e						
AFSL numbe	er						
f you would	like to register for a	dviser online to view	your client's in	vestment inforn	nation please con	plete the below.	
Dealer gro	oup/Financial adv	viser contact deta	ails				
	address. A PO Box/l ne/building name (if	RMB/Locked Bag is no	t acceptable.				
Jnit	Street number	Street name					
Suburb					State	Post	code
Country							
	(1)		\				
	ess (if different to l ne/building name (if	residential address applicable)	S). A PO Box/RME	3/Locked Bag is a	acceptable.		
Jnit	Street number	Street name					
Suburb					State	Post	code
Country							

Contact details	
Business number (include country and area code)	
Mobile number (include country code)	
Identification and verification	
Please complete the section below if you are a finance	ial adviser identifying and verifying your client.
As the investor's financial adviser, I:	
	ntification form available at the end of this form or the relevant) form and any supporting identifying and verifying documents.
have identified and verified the investor through the forms and attached a copy of this completed stands or	relevant Financial Services Council, formerly IFSA, (FSC) standard identification ard identification form to the application form.
is as stated in this application form. I have retained	or as required by the AML laws and I am satisfied that the identity of the applical a copy of the identification documents obtained and a record of the procedure I agree to provide a copy of this information upon request to support this
As the investor's financial adviser, I represent and warrar	nt to K2 that I, as applicable:
	Note No. 24 (GN 24) in identifying and verifying the investor and any other m financing legislation (AML laws) and will continue to comply with GN 24
• will provide, upon request, original identification and v	erification records and details of the identification procedures adopted
 have kept a record of the investor's identification and ver relationship has ended 	rification and will retain these for a period of seven years after the investor/adviser
• will if requested update and re-verify the investor and	provide any other additional information regarding the investor
 will not knowingly do anything to cause K2 to breach A breach AML laws, and 	AML laws and will notify K2 if I become aware of anything that would cause it to
confirm that the details provided in the application form	m and identification form are true and correct.
Please note K2 reserves the right to reject the appli (for example, if it has been incorrectly completed).	cable GN 24 form attached to this application form for whatever reason
Signature of financial adviser	Date
	D D M M Y Y Y

Select International Alpha Fund

Client Services contact details

Phone

+61 3 9691 6191

Email

invest@k2am.com.au

Website

www.k2am.com.au

Identification Form - Individuals

Select International Alpha Fund

Please complete this form if you are a new investor with K2 or if you are a financial adviser verifying your client who is a new investor. A separate form is required for each investor in the case of joint holdings. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

If you are a new investor and do not have a financial adviser

- 1. Please complete the following sections of this identification form in block letters and using a black pen.
- 2. Section 1 provide your personal details
 - Section 2 provide FATCA information
 - Section 3 indicate which ID document(s) you are including with your form
- 3. Make copies of your ID document(s) and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- 4. Include this identification form and certified copies of your ID documents with your initial application form when you send it to us.

If you are a financial adviser verifying your client who is a new investor

1. Please complete the following sections of this identification form in block letters and using a black pen.

Section 1 - provide your client's personal details

Section 2 - provide FATCA information

Section 4 - indicate the ID documents you have verified

2. Include this identification form and certified copies of the ID document(s) (if applicable) with the initial application form when you send it to us.

Legal notices

K2 Asset Management Ltd AFSL No. 244393 (K2) is the issuer of units in the Select International Alpha Fund (the Fund).

K2 is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and our Privacy Statement, which can be accessed on our website www.k2am.com.au.

Identification Form - Individuals

	Personal details						
Title	Full gi	iven names					
Cump a ma a							
Surname							
Date of bi	rth						
D D	M M Y Y	YY					
	ial address. A PO Box		ot acceptable.				
Property	name/building name (п аррисавіе)					
Unit/level	Street number	Street name					
Suburb					State	Postco	de
Country							
Dlease se	elect the source and o	rigin of funds being i	invested:				
Tick ✓	noot the source and c	rigin or fariable being f	iiivootou.				
	Savings						
	· ·						
	Investment						
	Superannuation cont	tributions					
	Commission						
	Donation/gift						
	Laboration of						
	Inheritance						
	Normal course of bus	siness					
	Appet pale						
	Asset sale						
	Other - write the sou	rce and origin of fund	ds below				

Identification Form - Individuals

	-ATCA Informati				\sim				ax (Con	npl	ian	ce /	Act	t)								
Is the ind	lividual a US citizen or No	residei	nt of t	he US	s for	tax p	ourpos	ses?															
168	NO																						
If yes, pro	ovide the individual's U	S Taxp	ayer	Identi	ificat	tion N	lumbe	er (TI	N):														
3.	Verification proc	edur	'e - i	nve	sto	rs																	
Verifica	ation if you are an A	Austra	lian	resid	lent	t																	
Please e	se enclose a certified copy of ONE of the following current documents.																						
Tick ✓	Select ONE option from this section																						
	Driver's licence (both front and back).																						
	Passport (only pages	contai	ning y	your p	hoto	o, cur	rent o	date o	of pas	spoi	rt an	d sig	natu	re a	ıre ı	requ	iired	d).					
	Any identification care photo, date of birth ar				state	e or t	errito	ry lav	v in A	ustr	alia (or by	an (ove	rsea	as g	jove	rnm	nent	whic	h co	ontair	ns your
Verifica	ation if you are not	an Δι	ıetra	lian r	esi	dent																	
Please e	nclose a certified copy ur signature. If it does n	of ONI	E of th	ne foll	owir	ng cui	rrent									our (drive	er's	licer	nce d	or pa	sspc	ort must
Tick ✓	Select ONE option fro	m this	secti	on																			
	Driver's licence (must	t show	your	photo	, da	te of	birth,	addr	ess a	nd s	igna	ture)											
	Passport (only pages	showi	ng yo	ur pho	oto,	curre	nt da	te of	passp	oort,	date	of b	irth a	and	sig	natu	ıre a	are	requ	ired)).		
4.	Verification proc	edur	e - l	ina	nci	al a	dvis	sers															
Record	d of verification pro	cedur	·e																				
Either:																							
a. Attacl	h the certified copy of t	he ID	docur	nent (as r	equir	ed ur	nder s	sectio	n 3)	use	d to	verify	/ yo	ur (clier	nt (a	nd a	any i	requ	ired	trans	slation);
	plete the details below client's name and eithe									ent.	Вус	comp	letin	g th	is y	/ou	repr	ese	ent th	nat y	ou h	ave	verified
ID docu	ment details	Docu	ımen	t																			
Verified	from	Original Certified copy																					
Docume	ent name/type																						
Docume	ent issuer																						
Issue da	ate	D																					

■ Identification Form - Individuals

Expiry date	D D M M Y Y Y	
Document number		
Accredited English translation	N/A Sighted	
FATCA	The FATCA information is reasonable considering the documentation provided	
Financial adviser details		
Date verified	D D M M Y Y Y	
Authorised Representative Num	per (if any)	
Financial adviser name		_
Phone number		
Dealer group name		
AFSL number		
FATCA		
	nable considering the documentation provided	

Select International Alpha Fund

Client Services contact details

Phone +61 3 9691 6191

Email invest@k2am.com.au

Website www.k2am.com.au

Identification Form - Australian & Foreign Companies

Select International Alpha Fund

Please complete this form if you are a company investing with K2 for the first time or if you are a financial adviser verifying your client. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Australian public company

- 1. Please complete sections 1 and 5 of this identification form in block letters and using a black pen.
- Include this identification form with your initial application form when you send it to us. You do not need to provide any ID documents.

Australian private company

- 1. Please complete sections 1, 3 and 5 of this identification form in block letters and using a black pen.
- 2. Section 4 must be completed if your company is not regulated and has shareholders who own, directly or indirectly, more than 25% or the company's issued capital. For this purpose, a regulated company is defined as any company that is licensed and subject to oversight by a statutory regulator, such as ASIC, Australian Prudential Regulation Authority (APRA) or Australian Tax Office (ATO).
- Include this identification form with your initial application form when you send it to us. You do not need to provide any ID
 documents.

Foreign public company

- 1. Please complete sections 2, 5 and 6 of this identification form in block letters and using a black pen.
- 2. Make copies of the relevant ID documents as applicable and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- 3. Include this identification form and certified copies of your ID documents with your initial application form when you send it to us.

Foreign private company

- 1. Please complete sections 2, 3, 4, 5, 6 and 7 of this identification form in block letters and using a black pen.
- 2. Make copies of the relevant ID documents and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- 3. Include this identification form and certified copies of your ID documents with your initial application form when you send it to us.

Legal notices

K2 Asset Management Ltd AFSL No. 244393 (K2) is the issuer of units in the Select International Alpha Fund (the Fund).

K2 is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and our Privacy Satement, which can be accessed on our website www.k2am.com.au.

Compar	ny details									
Full name	of company (as regist	ered by ASIC if	incorporate	d in Australia)						
ACN										
Registered	d office address. A PO	Box/RMB/Locked	Rag is not ac	centable (as rec	nistered by AS	IC if incorpora	ated in Aust	tralia)		
	name/building name (if		bag is not ac	ocptable. (as reg	jistered by Ae	io ii iiioorpore	117 (45)	irana)		
Unit	Street number	Street name								
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Suburb						Stat	e	Po	stcode	
								ī		
Country										
Country										
	usiness (if different to name/building name (if		address)							
			address)							
Property n			address)							
Property n	name/building name (if	applicable)	address)							
Property n	name/building name (if	applicable)	address)							
Property n	name/building name (if	applicable)	address)			State		Po	strode	
Property n	name/building name (if	applicable)	address)			Stat	le e	Po	stcode	
Property n Unit Suburb	name/building name (if	applicable)	address)			Stat	iee iii	Po	stcode	
	name/building name (if	applicable)	address)			Stat	re	Po	stcode	
Property n Unit Suburb	name/building name (if	applicable)	address)			Stat	ree	Po	stcode	
Unit Suburb Country	name/building name (if	applicable)	address)			Stat	e	Po	stcode	
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Property n Unit Suburb Country Regulate	Street number Ory/listing details	applicable)	address)			Stat	ree	Po	stcode	
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Property n Unit Suburb Country Regulator Regulator	Street number Ory/listing details name	applicable)	address)			Stat	lee le	Po	stcode	

Please s	select the source and ori	gin of funds being ir	nvested:								
Tick ✓											
	Savings										
	Investment										
	Superannuation contri	ibutions									
	Commission										
	Donation/gift										
	Inheritance										
	Normal course of busi	ness									
	Asset sale										
	Other - write the source	e and origin of fund	ls below								
2.	Foreign company										
	any details										
	ne of company										
Country	of formation/incorporation	on/registration									
Pagietra	tion/identification number	ar.									
registia	don/identification number	71									
Name of	f foreign registration bod	y (if applicable)									
Locked B	red office address. If reg ag is not acceptable. name/building name (if		company in	Australia,	please pro	ovide Au	stralian a	ddress. A	A PO Box/R	lMB/	
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Unit	Street number	Street name									_
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Suburb						St	tate	Pos	stcode		
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Country											
Regulatory	/listing details										
Regulator nam	_										
Licence details	<u> </u>										
Name of stock	exchange										
Australian liste	ed company name	if majority	owned su	ubsidiary	of an Aus	stralian l	isted com	ipany			
	ctor details ete if the compan	y is a pri	vate Aust	ralian or	Foreign	compa	ny. Do no	ot comple	ete for pu	blic and lis	ted
-	ectors are there?		Provide	full name	of each	director					

Title		en names	;																
Surname																			
Director 2	2																		
Title	Full giv	en names	,																
Surname																			
Director 3	3																		
Title	Full giv	en names																	
Surname																			
Director 4	1																		
Title	Full giv	en names	5																
Surname										_	_		_					_	_
If there are i	more directors, pleas	se provide	details	on a	separ	ate she	et and	d attac	h this	s with	ı you	r form	١.						
4. Ma	jor shareholder	s detail	S																
	ijor sriarcilolaci																		
	nplete for private													nies	. Pro	vide	deta	ils (of a
shareholde	nplete for private rs who own, direct													nies	. Pro	ovide	deta	ils (of a
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Major s	hareholder 2							
Title	Full giv	en names						
Surname								
Residentia	al address. A PO Box/RI	MB/Locked Bag is not	acceptable.					
Property r	name/building name (if	applicable)						
Unit	Street number	Street name						
Suburb						State	Postco	de
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	al address. A PO Box/RI name/building name (if		acceptable.					
Unit	Street number	Street name						
Suburb						State	Postco	de
Country								
5. F	ATCA Information (US	S Foreign Account	Tax Compliand	ce Act)				
FATCA St	tatus (select only ONE	of the following cat	tegories and pro	ovide the in	formation req	uested)		
Uni	ted States Company	(A company created	d in the US, est	ablished un	der the laws o	of the US or a U	S taxpayer)	
Pro	vide the company's US	S Taynayer Identifica	ation Number (TINI)				
FIO	vide the company's Oc	3 Taxpayer Identilica	ation Number (i iiv)				
ls th	ne company an exemp	t payee for US tax p	ourposes?		Yes	No		
	e company is a US Co	-						
	ancial Institution (A of CCA purposes)	custodial or deposito	ory institution, a	n investmer	nt entity or a s	pecified insuran	ice company fo	or
Pro	vide the company's GI	obal Intermediary Id	dentification Nu	mber				

If the company does no	t have a	GIIN,	plea	se pi	rovid	e its l	FATC	CA sta	atus.												
If the company is a Fina	ancial Ins	stitutio	n se	ction	5 is .	now	сотр	lete,	proce	ed t	o sec	tion (ĵ.								
Non-Financial Public (-		-					Instit	ution	s as	desci	ribed	abov	/e)	
If the company is a Pub	-					-														,	
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Are any of the beneficia	ıl owners	s (as p	er se	ection	า 4) โ	JS cit	tizens	or re	esider	nts o	of the	US fo	or	V	'es			No			
tax purposes If yes, provide the name and l US for tax purposes.	JS Taxpa	ayer lo	denti	ficatio	on Ni	umbe	er (TII	V) of	each	ben	eficia	l own	er wi			citiz	en or		dent (of the	
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Verification of a Foreign co	ompany	,																			
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Note: If the certificate of regis						nv of	the a	ahove	e deta	ails (enclo	se ce	ertifie	d co	nies	of ot	her d	ocun	nents	issue	-d
by independent third parties in	ndicating	the a	above	e det	ails i	n add	dition	to er	nclosi	ng tl	he ce	rtifica	ate of	f regi	strati	on. D	ocur	nents	s writ	ten in	a
language other than English n	nust be a	accom	ipani	ed by	/ an I	⊨ngli	sh tra	ınslat	ion pi	repa	red b	y an	accre	edited	d tran	islato	r.				
7. Verification proc	edure	- Fin	anc	cial	advi	iser	S														
Record of verification prod	edure c	of a F	oreig	gn co	ompa	any															
Either:																					
a. Attach the certified copies	of the ID	docu	ment	ts (as	requ	uired	unde	r sec	tion 6) use	ed to	verify	you	r clie	nt (ar	nd an	y req	uired	trans	slation);
OR b. Complete the details below	and do i	not att	tach (conie	s of t	he ΙΓ) doci	ımen	ts Rv	, con	nnleti	na thi	s voi	ıreni	resen	ıt that	l vou	have	verifi	ied voi	ır
client's company registration section 6.																					
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Document name/type																					
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FATCA	The	e FAT	CA in	form	ation	is rea	asona	able c	onsi	derir	ng th	ie do	cum	enta	tion	provi	ded				
ID document details	Doc	umen	t 2																		
Verified from		Oriç	ginal		Cer	tified	сору	,													
Document name/type																					
Document issuer																					
Issue date	D	D	M	M	Υ	Υ	Υ	Υ													
Expiry date	D	D	M	М	Υ	Υ	Υ	Υ													
Document number																					
Accredited English translation		N/A			Sig	hted															
FATCA	The	e FAT	CA in	form	ation	is rea	asona	able c	onsi	derir	ng th	ie do	cum	enta	tion	provi	ded				
Financial adviser details																					
Date verified	D	D	M	M	Υ	Υ	Υ	Υ													
Authorised Representative Num	ber (i	f any)																			
Financial adviser name			Т	Т	Т		Т	Т	Т	Т						Т	Т	Т	Т	Т	
Phone number	_	_																			
Dealer group name																					
AFSL number																					
FATCA																					
The FATCA information is reason	onabl	e con	sideri	ing th	ne do	cume	entatio	on pro	vide	d											

Select International Alpha Fund

Client Services contact details

Phone +61 3 9691 6191

Email invest@k2am.com.au

Website www.k2am.com.au

Identification Form - Trusts & Trustees

Select International Alpha Fund

Please complete this form if you are a new investor with K2 or if you are a financial adviser verifying your client who is a new investor. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

- 1. Complete the relevant sections of this identification form, according to the type of trust as set out below, in block letters and using a black pen.
- 2. Make copies of your ID document(s) as applicable and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- 3. Include this identification form and certified copies of the ID documents with your initial application form when you send it to us.

Type of Trust	Sections to complete
Australian and Foreign registered trusts with individuals as trustees	Sections 1, 2 and 5 or 6. Section 8 for self-managed super funds. Registered trusts (excluding self-managed super funds) do not need to provide ID documents.
Australian and Foreign registered trusts with a public company as trustee	Sections 1, 3 and 5 or 6. Registered trusts do not need to provide ID documents.
Australian registered trusts with a private company as trustee	Sections 1, 3, 4 and 5.
Foreign registered trusts with a private company as trustee	Sections 1, 3, 4, 6 and 9.
Australian unregistered trusts with individuals as trustees	Sections 1, 2, 6, 7 and 8.
Australian unregistered trusts with companies as trustees	Sections 1, 3, 4, 6 and 7.
Foreign unregistered trusts with individuals as trustees	Sections 1, 2, 6, 7 and 8.
Foreign unregistered trusts with companies as trustees	Sections 1, 3, 4, 6, 7 and 9.

Financial adviser verifying your client

- 1. Complete the relevant sections of this identification form, according to the type of trust as set out above, in block letters and using a black pen.
- 2. Either:
 - a. Attach the certified copies of the ID documents used to verify your client (and any required translation)
 - b. Complete section 10 indicating that you have collected and verified your client's identity.
- 3. Include this identification form and certified copies of ID documents (if applicable) with the initial application form when you send it to us.

Legal notices

K2 Asset Management Ltd AFSL No. 244393 (K2) is the issuer of units in the Select International Alpha Fund (the Fund).

K2 is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and our Privacy Statement, which can be accessed on our website www.k2am.com.au.

1.	Trust details
Full nam	e of trust
Country	where trust established
5 1	
Please s	elect the source and origin of funds being invested:
TICK *	
	Savings
	Investment
	Superannuation contributions
	Commission
	Commission
	Donation/gift
	Inheritance
	Normal course of business
	Asset sale
	Other - write the source and origin of funds below
Type o	f Truet
	elect only one of the following trust types and provide the information requested.
Re	egistered Managed Investment Scheme
Δ.	notability Descriptors of Oak area Newskay (ADON)
Au	stralian Registered Scheme Number (ARSN)
Re	egulated trust (for example a self-managed superannuation fund)
Na	ame of the regulator (for example ASIC, APRA, ATO)
Tro	ust's ABN or registration/licensing number
Go	overnment superannuation fund
Name of	legislation under which the fund is established

rust descri	otion (for ex	kample famil	y, unit, char	itable e	estate)													
Beneficia	v details																	
ease com	plete for u	nregistered	trusts. Do n	ot com	nplete	if the	trust	is a	regist	ered i	manag	ged ir	vestr	nent	scher	ne, re	gulate	d trus
	-	ation fund. It identify the	, honoficiari	oe by r	oforon	oco to	mom	horch	in of	n elace	. 2							
Yes	s or the trus	st identity the	benencian	es by i	CICICI	ice io	IIICIII	DEISH	iip oi a	a Class) (
	ails of the m	nembership (class(as) (fo	r ovam	nolo ur	nit ho	ldore	family	, mon	hore (of nam	and n	orcon	cha	ritable	nurn	000)	
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				-	+			-	-	-		+	-				-	+
No	How ma	ny beneficia	ries are ther	e?			Plea	se pro	ovide	the ful	l nam	e of e	ach b	enefi	ciarv			
		•						•							,			
eneficiary tle	1	Full given	names															
		I dii gir dii																
ırname																		
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tle	3	Full given	names															
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urname																		
there are	nore honof	iciaries, plea	se provide	detaile	on a a	enar	ato ob	ect c	nd att	ach th	e with	. VOUS	form					
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ρροιπιοι:	ne appoint	or has the po	ower to app	OILIT OL	TEITION	e me	เเนรเ	ees of	uie (I	ust. N	ot all l	แนรเร	nave	an a	hhoin	ιοι. <i>)</i>		
				-	+	-		_	_	+	-	+	-	-			+	_
						1												

	ividuals t											
	de details fo	or each in	dividual trustee									
Trustee 1		-										
Title		Full give	n names									
Surname												
Date of Birth	(dd/mm/yy	yy)										
D D I	M Y	YY	Y									
Residential a	address. A P	O Box/RM	B/Locked Bag is	not accepta	ble.							
Property nar	me/building	name (if a	applicable)									
Unit	Street nu	mber	Street name									
											П	
Suburb							State		Р	ostcode	:	
									1 [
Country												
Country												
Trustee 2												
Title		Full give	n names									
Surname												
Date of Birth	(dd/mm/yy	yy)										
	и м ү		Y									
Property nar	ne/building	O Box/RM name (if a	B/Locked Bag is applicable)	not accepta	ble.							
		Ì										
Unit	Street nu	mber	Street name									
								+				
Cuburb							Ctoto			ostcode		
Suburb							State		7 F	osicode	:	
Country									, ,			

If there are more trustees, please provide details on a separate sheet and attach this with your form.

3. C	ompany trustee	details								
	an company detail		ncorporate	ed in Aus	tralia					
	of company (as regist	-								
CN										
enistered	d office address. A PO	Box/RMR/Locked Ba	n is not accer	ntable						
	ame/building name (if		g 10 110t doocp	nabic.						
Init	Street number	Street name								
uburb							State	9	Postcoc	le
										_
country										
Juliu y										
	usiness (if different to ame/building name (if		ldress)							
roporty ii		арриодого)								
Init	Street number	Street name								
1110	Otrect Humber	Street Harrie								
uburb							State	Э	Postcoo	le
ountry									 	
Regulato	ory/listing details									
egulator										
icence de	etails									
ame of s	tock exchange									
_	company details -	- companies inc	orporated	outside d	of Aus	tralia				
ull name	of company									

Country of	formation/incorporation	on/registration							
Registratio	n/identification numbe	er							
Name of fo	reign registration bod	y (if applicable)							
Locked Bag	office address. If registriction is not acceptable. ame/building name (if	•	ompany in Aust	ralia, please p	rovide Austra	alian addre	ess. A PO B	ox/RMB/	
Unit	Street number	Street name							
Suburb						State	F	Postcode	
Country									
	usiness (if different to name/building name (if		ress)						
Unit	Street number	Street name							
Suburb						State	F	Postcode	
Country									
Regulato	ry/listing details								
Regulator r	name								
Licence de	tails								
Name of st	ock exchange								
Australian	listed company name	if majority owned su	bsidiary of an Au	ıstralian listed	company				

4. A	Additional inform	ation fo	r priva	te cor	mpani	es											
	omplete if the compa	ny is a pri	vate Au	straliar	or For	eign c	omp	any. [Do not	comp	ete fo	r pub	lic aı	nd lis	ted c	ompa	nies.
Director	s details																
low man	y directors are there?	?	Provi	de full r	name of	each	direc	tor									
irector 1	1																
itle	Full g	iven name	s			_											
Surname																	
irector 2	2																
itle	Full g	iven name	s			_					_						
Surname						_					_						
Director 3																	
itle	Full g	iven name	s								_						
Surname											_						
irector 4	4																
itle	Full g	jiven name	s								_						
urname																	
there are	e more directors, ple	ase provid	e details	on a se	eparate	sheet	and	attacl	n this v	vith yo	ır forn	٦.					
Major sł	hareholder details																
	omplete for private or indirectly, more th							anies	. Prov	ide de	tails	of all	sha	rehol	ders	who (own,
_	areholder 1	20 /0 0			o locus	o our											
itle		jiven name	s														
Surname																	
	al address. A PO Box/I	RMB/Locked	d Bag is r	not acce	ptable.												
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		(п аррпоав															
Property r			name														
	name/building name (name								T						

Subur	b	_		_													St	ate	_		Post	code		
Count	ry																			_				
5.	FAT	CA I	nform	ation	(US F	orei	an Ac	cour	nt Tax	Cor	nplia	nce /	Act) f	or Au	ıstral	ian Re	gulat	ed Tr	usts a	nd Tr	ustee	s		
Regula																r funds, ction 7.	gov	ernme	ent sup	er fur	nds or	pool	ed	
FATC	A Stat	us																						
Provid	e the	Trust	or Tru	ıstee's	Glob	oal Int	erme	diary	Iden	tifica	tion N	Numb	er (G	SIIN),	if app	olicable								
If neith	ner the	Trus	t nor t	he Tru	ustee	has a	a GIIN	N, ple	ase p	rovic	de the	e Trus	st's F	ATCA	A stat	JS								
6.	FAT	CA Int	ormati	on (US	S Fore	eign Ad	ccoun	t Tax	Comp	liance	e Act)	for A	ustral	ian Ur	nregul	ated Tru	ısts a	nd Tru	ıstees a	and Fo	reign	Trusts	S	
	n 6 is	not re	equire	d for L	Decea	ased l	Estate	es. F	or De	ceas	ed E	states	s pro	ceed	to se	ction 7.								
FATC	A Stat	us (s	elect (only C	NE o	f the	follou	ing c	atego	ories	and _i	provi	de th	e info	rmati	on requ	ıeste	d)						
	Unite	d Sta	tes Tr	ust (A	A trus	t crea	ted ir	the	US, e	estab	lishe	d und	ler th	e law	s of t	he US d	or a l	JS tax	kpayer)				
	Provid	de the	e Trust	r's US	Тахр	ayer l	denti	ficati	on Nu	ımbe	er (TII	N)												
	Is the	Trust	an ex	cempt	paye	e for	US ta	ıx pu	rpose	s?					Ye	s		No						
	If the	Trust	is a U	S Tru	st sec	ction 6	is c	omple	ete, p	rocee	ed to	secti	on 7.											
																'A trust ion in it			-	establi	ished	for c	ustoa	lial
	Provid (GIIN)				ustee	s 's G	Slobal	Inte	medi	ary lo	dentif	ficatio	on Nu	ımbeı										
	If neit			st nor	the T	ruste	e has	a GI	IN, pl	ease	prov	vide th	ne Tr	ust's										
	If the	Trust	is a F	inanci	al Ins	stitutic	n or	has a	Trus	tee ti	hat is	a Fil	nanc	al Ins	stitutio	on secti	on 6	is cor	nplete	, proc	eed to	sec	tion 7	7 .
	Other	(Trus	sts tha	nt are i	not U	S Tru	sts, F	inan	cial In	stitut	tions	or do	not	have	Finai	ncial Ins	stituti	on Tre	ustees)				
	Are aı tax pu	-		ust be	nefic	iaries	, trus	tees	or set	tlors	US c	citizer	ns or	resid	ents (of the U	IS foi	Y	'es		N	0		
	US cit	izens	or re	sident	s of tl	he US	for t	ах рі	ırpos	es						er sectio			'es		N			
	who is	a U	S citiz	en or	reside	ent of	the L	JS fo	r tax ¡	ourpo	oses.	Add	resse	s are	only	ch bene require	ed if t	hey h	ave no	ot alre	ady b	een p		

US Person 1	US Person 2	US Person 3
Full given name(s)	Full given name(s)	Full given name(s)
Surname	Surname	Surname
US TIN	US TIN	US TIN
Residential Address (PO Box is NOT acceptable	Residential Address (PO Box is NOT acceptable)	Residential Address (PO Box is NOT acceptable)
Suburb	Suburb	Suburb
State	State	State
Country	Country	Country
Postcode	Postcode	Postcode
7. Verification procedure	e - unregistered trusts	
7. Verification procedur	e - umegistereu trusts	
Verification for Australian and F Please enclose a certified copy of O		
Tick ✓ Select ONE option from the		
	d extract of the trust deed. The certified extract must	confirm the name of the trust
A certified copy of certified	d extract of the trust deed. The certified extract must	Committee name of the trust.
A notice issued to the trus	t by a regulatory authority (must not be more than 1	2 months old).
A letter from a solicitor or	qualified accountant that confirms the name of the tr	niet
	•	
Documents written in a language oth	er than English must be accompanied by an English	translation prepared by an accredited translator
8. Verification procedur	e - individuals as trustees	
Verification of individual trustee	s for self-managed super funds and unregistere	ed Australian trusts
Please enclose a certified copy of O	NE of the following current documents for one of the	e individual trustees of the trust.
Tick ✓ Select ONE option from the	nis section.	
Driver's licence (both fron	t and back).	
Passport (only pages con	taining your photo, current date of passport and sign	nature are required).
Any identification card iss photo, date of birth and si	ued under a state or territory law in Australia or by gnature.	an overseas government which contains your

Verification of individual trustees for unregistered Foreign trusts

Please enclose a certified copy of ONE of the following current documents for one of the individual trustees of the trust. The certified copy of the driver's licence or passport must show the individual's signature. If it does not, please have the trustee sign their name on the certified copy of the document.

Tick ✓	Select ONE option from this section.
	Driver's licence (must show the photo, date of birth, address and signature).
	Passport (only pages showing the photo, current date of passport, date of birth and signature are required).

9. Verification procedure - companies as trustees

Verification of a Foreign company

Please enclose a certified copy of the following documents.

Tick ✓	Enclose a certified copy of the following current documents.
	The Foreign Company's certificate or registration (or equivalent) issued by the relevant foreign registration/regulatory body indicating all of the following:
	(i) The company's identification/registration number
	(ii) The date it was incorporated or formed, AND
	(iii) The company's registered address.

Note: If the certificate of registration does not indicate any of the above details, enclose certified copies of other documents issued by independent third parties indicating the above details in addition to enclosing the certificate of registration.

10. Verification procedure - Financial advisers

Record of verification procedure

Either:

- a. Attach certified copies of the ID documents (as required under sections 7, 8 and/or 9) used to verify your client (and any required translation); OR
- b. Complete the details below and do not attach copies of the ID documents. By completing this you represent that you have verified your client's trust and trustee details according to the verification procedures in sections 7, 8 and/or 9.

ID document details	Docu	ımen	t 1																
Verified from		Orig	ginal		Cer	tified	сору	•											
Document name/type																			
Document issuer																			
Issue date	D	D	M	M	Υ	Υ	Υ	Υ											
Expiry date	D	D	М	M	Υ	Υ	Υ	Υ											
Document number																			
Accredited English translation	n N/A Sighted																		
FATCA	The	FAT	CA in	forma	ation	is rea	asona	able o	onsi	derin	g the	doc	umer	itatio	n pro	vide	d		

ID document details	Doc	umen	t 2																			
Verified from		Oriç	ginal		Cer	tified	сору	i														
Document name/type																						
Document issuer																						
Issue date	D	D	М	М	Υ	Υ	Υ	Υ														
Expiry date	D	D	М	М	Υ	Υ	Υ	Υ														
Document number																						
Accredited English translation		N/A			Sig	hted																
FATCA	The	FAT	CA in	form	ation	is rea	asona	able c	onsi	derin	g the	doc	ume	ntati	on p	rovi	ded					
Financial adviser details	•																					
Date verified	D	D	М	М	Υ	Υ	Υ	Υ														
Authorised Representative Num	ıber (it	f any)																				
Financial adviser name	_	_					_		_								_	_	_	_	_	
Phone number																						_
Phone number		T																				
Dealer group name																						
AFSL number		_																				
FATCA																						
The FATCA information is reason	nable	cons	iderin	na the	oob e	umer	ıtatioı	n prov	/ided													

Select International Alpha Fund

Tax Information Form

Client Services contact details

Phone

+61 3 9691 6191

Email

invest@k2am.com.au

Website

www.k2am.com.au

Why you need to complete this form

The Foreign Account Tax Compliance Act (**FATCA**) and Common Reporting Standard (**CRS**) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and many other foreign Governments (through their tax offices) have an agreement which means we must ask you, and you must answer, these questions. Information we gather is reported to the Australian Taxation Office (**ATO**) and in turn to global tax authorities. For more information, visit ato.gov.au.

If you are unsure of any of the answers, please contact a legal or accounting professional.

Which sections of the form should you complete?

- Superannuation funds, testamentary trusts, registered charities Section 1
- Individuals Section 2
- Companies and other trusts Section 3

What if more than one person is applying?

Each individual investor will need to complete a copy of this form.

HELP

Tell me about tax residence

You can be a resident of more than one country for tax purposes. Whether you are tax resident of a particular country for tax purposes is often based on the amount of time you spend in a country and the location of your residence and/or place of work. If you pay tax or have a tax liability somewhere, you are probably a tax resident there. Dual citizenship often brings dual tax residency. It depends on the country. For the US, tax residency can be as a result of citizenship or residency for tax purposes.

If you're unsure, ask someone who knows, usually your accountant.

SECTION 1	SUPERANNUATION FUNDS AND OTHER SPECIAL TRUSTS

1.	Are you a superannuation or other special type of trust?	
	I am the trustee of a regulated superannuation fund , or this includes a self-managed superannuation fund I am a trustee of a testamentary trust , or	HELP Regulated superannuation fund: means self-managed superannuation funds, APRA regulated superannuation funds, Australian Government or semi-government superannuation funds and pooled super trusts. Testamentary trust: this is a trustee of a trust set up in a deceased's will.
	I am a trustee of a registered charity	
Wh	ere to now?	
:	I ticked a box ▶ go to Section 4 Signatures I did not tick a box ▶ go to Section 2 if you are an individua	I, or Section 3 if you are a company or another type of trust
SEC	CTION 2 INDIVIDUALS	
Do	not complete Section 2 if you are a non-superannuation truste	e or you are a company ▶ complete Section 3 instead.
2.	Are you a US resident for tax purposes?	HELP
	No ▶ go to question 3 Yes – please tell us your TIN ▶ go to question 3	What is a TIN? This is short for Taxpayer Identification Number, an identification number issued or used by tax authorities. In Australia, the equivalent is the tax file number (TFN). For the US, it could for example be a US Social Security Number, a US Individual Taxpayer Identification Number or a US
TI	N	Employer Identification Number. In other countries, it may have a different name.

 Are you a resident of any other country for tax purposes Other than the US or Australia. No ▶ go to Section 4 Signatures Yes - please tell us which ones, using the following table. ▶ then go to Section 4 Signatures 	PELP No TIN? Reasons we accept are: #1 This country does not issue TINs #2 I have asked for a TIN, but have not yet been given one – you must tell us when received #3 The laws of this country do not require me to disclose my TIN I have an exemption under the laws of this country from holding a TIN – write a code or give us details
Country TIN 1. 2. 3. 4.	No TIN? Which reason? See HELP box above
SECTION 3 COMPANIES AND NON-SUPERANNUATION TR	USTS
 4. Are you a US resident for tax purposes? No ▶ go to question 5 Yes - please tell us your TIN ▶ then go to question 5 	HELP What is a TIN? See HELP box on the previous page.
TIN	
 Are you a resident of any other country for tax purposes Other than the US or Australia. No ▶ go to question 6 Yes - please tell us which ones, using the following table. ▶ then go to question 6 	HELP No TIN? Reasons we accept are: #1 This country does not issue TINs #2 I have asked for a TIN, but have not yet been given one – you must tell us when received #3 The laws of this country do not require me to disclose my TIN

	Country	TIN	No TIN? Which reason? See HELP box above
1.			
2.			
3.			
4.			

6. Are you a 'financial institution'?	HELP
•	What is a GIIN?
Be careful – financial institution is broadly defined – see HELP box	This stands for Global Intermediary Identification Number. GIINs are 19 digit
Not relevant - I wrote my TIN in question 4 ▶ go to question 7	long, issued by US tax authorities (the IRS) to non US financial institutions and sponsoring entities for purposes of identifying their registration with the IRS under US tax laws (called FATCA).
No ▶ go to question 7	LIFLD
	HELP What is a financial institution?
Yes – please tell us your GIIN – see HELP box	This includes:
GIIN	 an investment entity - any entity that conducts certain activities or operations for or on behalf of a customer, including:
	- 'trading in money market instruments' and other relevant
Where to now?	instruments - 'individual and collective portfolio management'
 I ticked YES and completed my GIIN ▶ go to question 7 	 'investing, administering, or managing funds or money on behalf of
I ticked YES but did not write a GIIN – tell us below why	other persons'.
you did not write a GIIN ▶ then go to question 7	However, any trading, investing, administering or managing of
Excepted Financial Institution	financial assets on behalf of other persons must be done as a business. Note that financial assets does not include direct interests
	in real property.
Deemed Compliant Financial Institution	An entity is also an investment entity if it is managed by another entity that is an investment entity.
	An investment entity is generally only capable of including a trust if the
Exempt Beneficial Owner	trust's gross income is primarily attributable to investing, reinvesting, or
	trading in financial assets and the trust is managed by an entity that is a financial institution in its own right or otherwise is primarily
Non-participating Financial Institution	conducting a business of trading, investing, managing or administering
Non-reporting IGA Financial Institution	financial assets on behalf of other persons.
— Non-reporting IGA Financial institution	So, as general rules: - managed investment schemes are investment entities
Sponsored financial institution – their GIIN is	trusts with professional corporate trustees (and often professional)
GIIN	corporate investment managers) often are investment entities,
Oliv	such as unregistered (wholesale) managed investment schemes - discretionary family trusts are not usually investment entities, even
7. Are you a public company listed on a stock exchange?	if they have someone managing the trust's assets for them.
The you a public company nated on a stock exchange.	The ATO gives some help in this, and it's worth seeking advice if you
No ▶ go to question 8	are unsure: ato.gov.au/General/International-tax-agreements/In-
	detail/International-arrangements/FATCA-detailed-guidance
Yes ▶ go to Section 4 Signatures	a depository institution
	you accept deposits in the ordinary course of a banking or similar business e.g. a bank
	a custodial institution
	a substantial portion of your business (20 per cent plus of gross income) is held in financial assets for the account of others e.g. a custodian
	certain prescribed entities
	e.g. types of insurance companies that have cash value products or
	annuities.
8. Are you 'active' or 'passive'?	
	HELP

What is 'active' and 'passive'?

- If you are answering this question, then relevant tax laws categorise you as a 'non-financial entity'.
- We require less information from active non-financial entities, and more information from passive non-financial entities.
- Please tell us if you are active or passive.
- You are active if you are not passive.
- Passive: because our income and assets are mostly passive. During the previous financial year, 50% or more of our gross income was passive income and 50% or more of our assets during that financial year were assets that produced or were held for the production of passive income. Passive assets are assets such as equities and debt securities that produce investment income such as dividends, interests, royalties and annuities.
- Passive: because we are not really a business. We are established and operated exclusively for: religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; as a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league; or as an organisation operated exclusively for the promotion of social welfare.
- Passive: because we are exempt from Australian income tax.
- Other categories of passive? They exist but are less common.
 Get advice if you are unsure.

I am an 'active' non financial entity ▶ go to Section 4

I am a 'passive' non financial entity ▶ go to question 9

Signatures

9. Do you have any 'controlling persons' who are resident of another country for tax purposes? I am passive, and YES I do have controlling persons who are resident of another country for tax purposes Complete the controlling persons details in the table below ▶ then go to Section 4 Signatures. If there is not enough room in the table, please copy the page and attach it to your completed form. I am passive, but NO I do not have controlling persons who are resident of another country for tax purposes It would be unusual to think of no-one. Please read the HELP box. If you're sure ▶ go to Section 4 Signatures.	HELP Controlling persons Controlling persons are the natural persons who: are residents of another country for tax purposes, and exercise practical control over you. When thinking about control, start by thinking about the people who have ownership interests: if you are a company, think first about shareholders if you are a discretionary trust, list the beneficiaries who were paid a distribution this financial year if you are trustee of a deceased estate, the executor is usually the person in control if you are another type of trust (perhaps a unitised investment trust), think first about the unit holders or beneficiaries, and also consider the role of any 'settlor'. For companies, use 25% as a touchstone – if a person holds 25% of more of the issued capital or voting rights, best to list them. For trusts (other than discretionary trusts), law requires that you list all beneficiaries, and also requires that you drill down through the chain of control or ownership to find the natural persons that ultimately control the trust. Where no natural persons exercise control through ownership, consider who might exercise control through other means – directors and perhaps senior managing officials.
Controlling person Title Given names Surname Date of birth (DD/MM/YYYY) City and country of birth	Controlling person Title Given names Surname Date of birth (DD/MM/YYYY) City and country of birth
Residential address Number Street name Suburb/City State Post code/Zip Country Country of tax residence TIN No TIN? Which reason? See HELP at questions 3 or 5 1. 2. 3. 4.	Residential address Number Street name Suburb/City State Post code/Zip Country Country of tax residence TIN No TIN? Which reason? See HELP at questions 3 or 5 1. 2. 3. 4.

Controlling person	Controlling person
Title Given names	Title Given names
Surname	Surname
Date of birth (DD/MM/YYYY)	Date of birth (DD/MM/YYYY)
	Date of birth (DD/MW/11111)
City and country of birth	City and country of birth
Residential address	Residential address
Number Street name	Number Street name
Suburb/City State	Suburb/City State
Post code/Zip Country	Post code/Zip Country
Tost code/zip Country	1 Ost Code/Zip Country
Country of TIN No TIN? Which	Country of TIN No TIN? Which
tax reason?	tax reason?
residence See HELP at questions 3 or 5	residence See HELP at questions 3 or 5
1.	1.
2.	2.
3.	3.
4.	4.
Controlling person	Controlling person
Title Given names	Title Given names
Surname	Surname
Date of birth (DD/MM/YYYY)	Date of birth (DD/MM/YYYY)
	Date of billin (DD/MIN/11111)
City and country of birth	City and country of birth
Residential address	Residential address
Number Street name	Number Street name
Suburb/City State	Suburb/City State
Post code/Zip Country	Post code/Zip Country
Fost code/zip Country	Fost code/zip Codnitry
Country of tax residence TIN No TIN? Which reason? See HELP at questions 3 or 5	Country of tax residence TIN No TIN? Which reason? See HELP at questions 3 or 5 1. 2.
tax residence See HELP at questions 3 or 5	tax residence See HELP at questions 3 or 5

Important information

Nothing in this form is advice (and any 'help' is general guidance only). Seek professional advice to be sure of your answers.

It is a condition of investing that you keep your details (including tax details) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form again and emailing, faxing or posting it to our Unit Registry.

By completing and signing this form:

- you represent having read and understood this form
- you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you undertake that if information in this form changes, you will tell us within 30 days.

How to sign

Individual: sign in the left box, and have someone witness in the right box. If you are investing jointly, you need a separate form for each individual.

Company: two directors, or a director and a secretary sign, or if you are a sole director company, that sole director signs in the left box and a

I name
YYY)